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| IDS Form PTO/SB/08: Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | | Complete if Known | |
| Sheet 1 of 7 | | | | Application Number 09/838,197 Filing Date April 20, 2001 First Named Inventor Cannell Art Unit 1751 Examiner Name E. Elhilo Attorney Docket Number 05725.0505-00000 | |

| U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS | | | | | |
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| Examiner Initials | Cite No. | Document Number | Issue or Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number-Kind Code (if known) | | | |
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| CE | ✓ | 2,464,280 | 3/15/1949 | Reed et al. | 167/87-1 |
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| SE | ✓ | 2,564,722 | 8/21/1951 | Reed et al. | 132/7 |
| SE | ✓ | 2,719,814 | 10/4/1955 | Haefele | 167/87-1 |
| SE | ✓ | 3,017,328 | 1/16/1962 | Childrey, Jr. et al. | 167/87-1 |
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| SE | ✓ | 3,242,052 | 3/22/1966 | Sheffner | 132/204 |
| SE | ✓ | 3,243,346 | 3/29/1966 | Bechmann et al. | 167/87-1 |
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| SE | ✓ | 3,654,936 | 4/11/1972 | Wajarooff | 132/7 |
| CE | ✓ | 3,908,672 | 9/30/1975 | Bore et al. | 132/7 |
| SE | ✓ | 3,971,391 | 7/27/1976 | Bore et al. | 132/7 |
| CE | ✓ | 3,973,574 | 8/10/1976 | Minagawa, deceased et al. | 132/7 |
| SE | ✓ | 4,139,610 | 2/13/1979 | Miyazaki et al. | 424/72 |
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| Examiner Signature | <i>Sig Sult</i> | Date Considered | 11/27/05 |
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

2

of

7

Application Number

09/838,197

Filing Date

April 20, 2001

First Named Inventor

Cannell

Art Unit

1751

Examiner Name

E. Elhilo

Attorney Docket Number

05725.0505-00000

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

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| GR | ✓ | 4,390,033 | 6/28/1983 | Khalil et al. | 132/7 |
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| GR | ✓ | 5,294,230 | 3/15/1994 | Wu et al. | 8/127-51 |
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| GR | ✓ | 5,679,327 | 10/21/1997 | Darkwa et al. | 424/20-6 |
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| GR | ✓ | 5,824,295 | 10/20/1998 | Syed et al. | 424/70-5 |
| GR | ✓ | 5,833,966 | 11/10/1998 | Samain | 424/70-2 |

Examiner
Signature

E. Elhilo

Date
Considered

11/27/05

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IDS Form PTO/SB/08: Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 3 of 7

Complete if Known

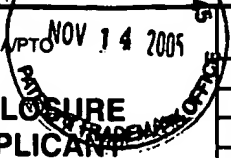
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| Application Number | 09/838,197 |
| Filing Date | April 20, 2001 |
| First Named Inventor | Cannell |
| Art Unit | 1751 |
| Examiner Name | E. Elhilo |
| Attorney Docket Number | 05725.0505-00000 |

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| | | Number-Kind Code (if known) | | | |
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| CE | ✓ | 5,932,201 | 8/3/1999 | de Labbey et al. | 424/70.17 |
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| CE | ✓ | 5,961,667 | 10/5/1999 | Doehling et al. | 8/408 |
| CE | ✓ | 6,058,943 | 5/9/2000 | Davis-Harris | 132/205 |
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| CE | ✓ | US-2002/0189027 | 12/19/2002 | Cannell et al. | 8/405 |

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|--------------------------|----------|--|--------------------------------|---|---|-------------|
| Examiner Initials | Cite No. | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation |
| | | Country Code Number Kind Code (if known) | | | | |
| CE | ✓ | CN 1138450 A | 12/25/1996 | L'Oreal | | Abstract |
| CE | ✓ | DE 20 14 628 | 10/14/1971 | Wella Aktiengesellschaft | | Abstract |
| CE | ✓ | DE 28 23 243 | 11/29/1979 | Wella Aktiengesellschaft | | Abstract |
| CE | ✓ | EP 0 636 358 A1 | 2/1/1995 | L'Oreal | | Abstract |
| CE | ✓ | EP 0 712 623 | 5/22/1996 | Shiseido Company Limited | | |
| CE | ✓ | GB 1 002 889 | 9/2/1965 | Mead Johnson & Company | | |
| CE | ✓ | GB 1 281 662 | 7/12/1972 | Wella Aktiengesellschaft | | |
| CE | ✓ | JP-A 2002-003346 | 1/9/2002 | Dowa Yakusho KK | | Abstract |
| CE | ✓ | JP-A 2002-68976 | 3/8/2002 | Chuo Aerosol Kagaku KK | | Abstract |
| CE | ✓ | JP-A H02-104515 | 4/17/1990 | L'Oreal | | |

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| Examiner Signature | <i>Eisa Elhilo</i> | Date Considered | 11/27/05 |
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| IDS Form PTO/SB/08: Substitute for form 1449/PTO | | | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | Application Number 09/838,197 | |
| (Use as many sheets as necessary) | | | | Filing Date April 20, 2001 | |
| | | | | First Named Inventor Cannell | |
| | | | | Art Unit 1751 | |
| | | | | Examiner Name E. Elhilo | |
| Sheet 4 of 7 | | | | Attorney Docket Number 05725.0505-00000 | |

| FOREIGN PATENT DOCUMENTS | | | | | | |
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| | | Country Code Number Kind Code (if known) | | | | |
| CE | ✓ | JP-A H04-243860 | 8/31/1992 | L'Oreal | | |
| CE | ✓ | JP-A H04-295413 | 10/20/1992 | Helene Curtis, Inc. | | |
| CE | ✓ | JP-A H05-246827 | 9/24/1993 | L'Oreal | | |
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| CE | ✓ | JP-A H5-39211 | 2/19/1993 | Shiseido Co., Ltd. | | |
| CE | ✓ | JP-A H6-172141 | 6/21/1994 | Takara Belmont KK | | Abstract |
| CE | ✓ | JP-A H6-343511 | 12/20/1994 | L'Oreal | | |
| CE | ✓ | JP-A H7-101840 | 4/18/1995 | KAO Corp. | | Abstract |
| CE | ✓ | JP-A S50-029756 | 3/25/1975 | L'Oreal | | |
| CE | ✓ | JP-A S50-029757 | 3/25/1975 | L'Oreal | | |
| CE | ✓ | JP-A S63-190814 | 8/8/1988 | The Gillette Co. | | |
| CE | ✓ | WO 01/64171 A2 | 9/7/2001 | L'Oreal | | |
| CE | ✓ | WO 02/67875 A1 | 9/6/2002 | L'Oreal | | |
| CE | ✓ | WO 97/07775 | 3/6/1997 | Johnson Products Co., Inc. | | |
| CE | ✓ | WO 99/18922 | 4/22/1999 | L'Oreal | | Abstract |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|----------|---|-------------|
| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation |
| CE | ✓ | Advisory Action dated July 22, 2005, in co-pending Application No. 09/789,667 (Attorney Docket No. 05725.0535-00) | |
| CE | ✓ | CLARIANT, "Tylose Water-soluble cellulose ethers", Product range and fields of Application | |
| CE | ✓ | Co-pending Application No. 09/516,942 - Attorney Docket No. 05725.0487-00000 Title: Hair Relaxer Compositions Utilizing Complexing Agent Activators Inventors: Nghi Van Nguyen et al. U.S. Filing Date: March 1, 2000 | |

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| Examiner Signature <i>Eisa Gullu</i> | Date Considered 11/27/05 |
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| Filing Date | | | | April 20, 2001 | |
| First Named Inventor | | | | Cannell | |
| Art Unit | | | | 1751 | |
| Examiner Name | | | | E. Elhilo | |
| Attorney Docket Number | | | | 05725.0505-00000 | |
| Sheet | 5 | of | 7 | | |

| NON PATENT LITERATURE DOCUMENTS | | |
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| CE | C | Co-pending Application No. 09/717,206 - Attorney Docket No. 05725.0534-00000 Title: Hair Relaxer Compositions Utilizing Cation Exchange Compositions Inventors: David W. Cannell et al. U.S. Filing Date: November 22, 2001 |
| CE | C | Co-pending Application No. 09/931,912 - Attorney Docket No. 05725.0593-00000 Title: Compositions Comprising at Least One Hydroxide Compound and at Least One Reducing Agent, and Methods for Relaxing Inventors: Nghi Van Nguyen et al. U.S. Filing Date: August 20, 2001 |
| CE | C | Co-pending Application No. 09/931,913 - Attorney Docket No. 05725.0848-00000 Title: Compositions Comprising at Least One Hydroxide Compound and at Least One Oxidizing Agent, and Methods to Straighten Curly Hair Inventors: Nghi Van Nguyen et al. U.S. Filing Date: August 20, 2001 |
| CE | C | Co-pending Application No. 09/931,914 - Attorney Docket No. 05725.0798-00000 Title: Compositions Comprising at Least One Hydroxide Compound and at Least One Complexing Agent, and Methods for Using the Same Inventors: Nghi Van Nguyen et al. U.S. Filing Date: August 20, 2001 |
| CE | C | Co-pending Application No. 09/931,919 - Attorney Docket No. 05725.0639-00000 Title: Method for Relaxing and Re-Waving Hair Comprising at Least One Reducing Agent and at Least One Hydroxide Compound Inventors: David W. Cannell et al. U.S. Filing Date: April 20, 2001. |
| CE | C | Co-pending Application No. 10/214,942 - Attorney Docket No. 05725.0534-01000 Title: Hair Relaxer Compositions Utilizing Cation Exchange Composition |
| CE | C | English language Derwent Abstract for CN 1138450 A |
| CE | C | English language Derwent Abstract for DE 20 14 628 |
| CE | C | English language Derwent Abstract for DE 28 23 243 |
| CE | C | English language Derwent Abstract for EP 0 636 358 A1 |
| CE | C | English language Derwent Abstract for JP 60021704 |
| CE | C | English language Derwent Abstract for JP 76-09013 |
| CE | C | English language Derwent Abstract for JP-A 2002-003346 |
| CE | C | English language Derwent Abstract for JP-A 2002-68976 |
| CE | C | English language Derwent Abstract for JP-A H6-172141 |

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| Examiner Signature | Eisa Elhilo | Date Considered | 11/27/05 |
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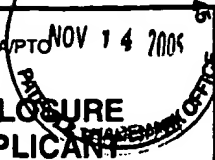
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| | | | | First Named Inventor | Cannell |
| | | | | Art Unit | 1751 |
| | | | | Examiner Name | E. Eihilo |
| | | | | Attorney Docket Number | 05725.0505-00000 |
| Sheet | 6 | of | 7 | | |

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|---------------------------------|----------|---|-------------|
| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation |
| CE | ✓ | English language Derwent Abstract for JP-A H7-101840 | |
| CE | ✓ | Hair Science: Japan Hair Science Assoc., Jan. 10, 1996, 2nd. Ed., p. 87. | |
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| CE | ✓ | International Search Report for International Application No. PCT/US02/21849 (Related to Attorney Docket No. 05725.0593), May 25, 2003, Examiner Menidjel. | |
| CE | ✓ | Office Action dated Apr. 13, 2004, in co-pending Application No. 09/931,913 (Attorney Docket No. 05725.0848) | |
| CE | ✓ | Office Action dated Apr. 28, 2003, in co-pending Application No. 09/931,914 (Attorney Docket No. 05725.0798) (issued as U.S. Patent No. 6,782,895) | |
| CE | ✓ | Office Action dated April 12, 2005, in co-pending Application No. 09/789,667 (Attorney Docket No. 05725.0535-00). | |
| CE | ✓ | Office Action dated August 31, 2005, in co-pending Application No. 09/931,913 (Attorney Docket No. 05725.0848-00). | |
| CE | ✓ | Office Action dated Dec. 16, 2003, in co-pending Application No. 10/214,942 (Attorney Docket No. 05725.0534-01) (Now issued as U.S. Patent No. 6,792,954) | |
| CE | ✓ | Office Action dated February 5, 2003, in co-pending Application No. 09/789,667 (Attorney Docket No. 05725.0535-00) | |
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| CE | ✓ | Office Action dated July 31, 2003, in co-pending Application No. 09/789,667 (Attorney Docket No. 05725.0535-00). | |
| CE | ✓ | Office Action dated March 8, 2005, in co-pending Application No. 09/931,912 (Attorney Docket No. 05725.0593-00). | |

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| Examiner Signature | <i>Euseu Eihilo</i> | Date Considered | 11/27/05 |
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| CE | ✓ | Office Action dated May 24, 2004, in co-pending Application No. 09/931,912 (Attorney Docket No. 05725.0593-00). | |
| CE | ✓ | Office Action dated Nov. 19, 2004, in co-pending Application No. 09/931,913 (Attorney Docket No. 05725.0848) | |
| CE | ✓ | Office Action dated Oct. 27, 2003, in co-pending Application No. 09/931,913 (Attorney Docket No. 05725.0848) | |
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| CE | ✓ | Zviak, C., The Science of Hair Care, pp 185-186 (1986). | |

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